

# Versatile 3D Multi-Sensor Fusion for Lightweight 2D Localization

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**Abstract**—Aiming for a lightweight and robust localization solution for low-cost, low-power autonomous robot platforms, such as educational or industrial ground vehicles, under challenging conditions (e.g., poor sensor calibration, low lighting and dynamic objects), we propose a two-stage localization system which incorporates both offline prior map building and online multi-modal localization. In particular, we develop an occupancy grid mapping system with probabilistic odometry fusion, accurate scan-to-submap covariance modeling, and accelerated loop-closure detection, which is further aided by 2D line features that exploit the environmental structural constraints. We then develop a versatile EKF-based online localization system which optimally (up to linearization) fuses multi-modal information provided by the pre-built occupancy grid map, IMU, odometry, and 2D LiDAR measurements with low computational requirements. Importantly, spatiotemporal calibration between these sensors are also estimated online to account for poor initial calibration and make the system more “plug-and-play”, which improves both the accuracy and flexibility of the proposed multi-sensor fusion framework. In our experiments, our mapping system is shown to be more accurate than the state-of-the-art Google Cartographer. Then, extensive Monte-Carlo simulations are performed to verify both accuracy, consistency and efficiency of the proposed map-based localization system with full spatiotemporal calibration. We also validate the complete system (prior map building and online localization) with building-scale real-world datasets.

## I. INTRODUCTION

Providing versatile, lightweight, and robust localization with centimeter-accuracy for indoor ground robots holds potentially huge implications for the practical development of autonomous systems. Within the service, educational, and commercial sectors, ground vehicles are a fundamental transportation platform which enable higher level tasks (e.g., package delivery, inspection, or environmental mapping). Accurate localization is crucial to robotic autonomy, but is limited by both the sensor payload limit, cost, and computational requirements for processing sensor data and multi-sensor fusion for state estimation. Hence, an efficient localization system which fuses information of multiple modalities (e.g., inertial, odometry, range, camera, ultra-wide band) has been a research focus over the past decades [1].

A particular application that has attracted large amounts of attention, due to high accuracy requirements in challenging large scale dynamic environments, is autonomous warehousing [2]. Light detection and ranging (LiDAR)-based localization systems have become a focus of indoor ground

robots due to LiDAR’s robustness to external factors (e.g., poor lighting conditions), complementary use in collision avoidance safety systems, and simplicity of the collected measurements. However, these LiDAR only systems suffer from degenerate cases, such as long corridors – requiring additional sensing information to be fused to increase localization accuracy. If low-end sensors are used, their lower signal-to-noise ratios require careful modeling of sensor errors and proper fusion of multiple noisy sources to reduce the overall estimate uncertainty to acceptable levels. Thus, in this work we focus on efficient fusion of multiple low-cost multi-modal sensors to provide accurate localization while running on computationally limited devices.

To that end, we propose a two part system: (1) an offline 2D mapping algorithm which builds an occupancy grid and sparse line feature map in a tightly coupled nonlinear optimization framework; (2) a versatile efficient filter which leverages inertial information to handle non-2D irregularities such as bumps and fuses odometry and LiDAR information and leverages the pre-built map to bound localization drift, while refining all sensor calibration parameters for improved robustness. Specifically, the proposed localization system has the following contributions:

- We build a submap-based occupancy grid mapping system as in the well-known Cartographer [3], while accurately modeling the scan-to-submap covariance and performing probabilistic fusion with odometry readings. We additionally exploit the environmental structure and extract lines which provide geometric constraints between poses. We also accelerate the loop closure detection by leveraging the state estimate uncertainty to limit the scan matching search window.
- We develop a 3D EKF-based online localization system which optimally fuses inertial, odometry, and 2D LiDAR sensors for accurate online state estimation. The generated prior map is leveraged to bound the drift of localization without the computational burden of simultaneously building it alongside the state estimation. We additionally handle inaccurate sensor spatial and temporal calibrations through online estimation of these parameters and allowing for “plug and play” robots with hand-measured calibration as initial guesses.
- The mapping system is compared to Cartographer on all of the publicly available RADISH datasets, and shown to be more accurate in most cases. We additionally show that our mapping system can create better map quality in a warehouse scenario.
- Extensive simulation evaluations of the localization system are performed with analysis of different sensor configurations and the convergence of calibration parameters. Additionally the impact of update frequency

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of prior map constraints is investigated.

- The complete system is validated on a real-world dataset where we are able to localize within the prior map. We evaluate the time consumption of the proposed localization algorithm and quality of generated map.

## II. RELATED WORK

2D LiDAR-based localization has received significant attention over the past years [4], and its solutions can be approximately categorized into two major families: particle-based [5] and graph-based [3], [6], [7]. The former including FastSLAM [8], GMapping [5], TinySLAM [9], [10], and VinySLAM [11], has been of particular interest due to the ability to run on low-power devices. However, achieving accurate performance often comes at a higher computational cost due to the large number of particles needed.

Among the graph-based methods, the state-of-the-art Cartographer [3] introduces an efficient scan-to-submap loop closure detection algorithm and optimizes a global pose graph that consists of both scan/submap-submap ego-motion measurements and loop-closure constraints. However, this mapping approach does not explicitly model the covariance of their measurements and instead simply uses equal weights. In this paper we introduce some important improvements to this system to construct an offline map better suitable for lightweight online localization; in particular, we model the uncertainty of the scan-to-submap matching and perform *weighted* least-squares optimization in our mapping system.

As the closest work to our localization system, HectorSLAM [12] combines 2D multi-level occupancy mapping alongside a 3D EKF which estimates the full 3D trajectory of the sensor through 2D LiDAR and IMU measurements. The 3D EKF propagates forward with inertial measurements and updates using covariance intersection of the optimized scan matching result from their 2D mapping module. In contrast, we perform online estimation of the extrinsic and time offset calibration between all sensors to facilitate the easy deployment to new robots. We additionally leverage a pre-computed loop-closed prior map in our online localization allowing for bounded accuracy in large-scale environments without the extra cost of building it online.

## III. 2D LINE AND OCCUPANCY GRID MAPPING

The proposed mapping system improves upon Cartographer [3]. Its architecture is outlined in Fig. 1. At each timestep we optimize incoming scans to the current submap while background threads perform loop closure detection and optimization of the global pose graph which contains relative pose, loop closure, and line cost terms. The non-linear optimization problem is formulated and solved using the Ceres Solver [13], with the state vector  $\mathbf{x}_{map}$  given by:

$$\mathbf{x}_{map} = [\bar{\mathbf{x}}_1 \quad \cdots \quad \bar{\mathbf{x}}_k \quad \bar{\mathbf{x}}_{f_1} \quad \cdots \quad \bar{\mathbf{x}}_{f_\ell}] \quad (1)$$

where  $\bar{\mathbf{x}}_i$ ,  $i \in \{1 \dots k\}$  contains the 2D position  ${}^G\bar{\mathbf{p}}_{L_i}$ <sup>1</sup> and the yaw angle  ${}^G\theta_{L_i}$  ( ${}^G\bar{\mathbf{R}}$  in matrix form) of the LiDAR in a global frame at time  $t_i$  and  $\bar{\mathbf{x}}_{f_j}$ ,  $j \in \{1 \dots \ell\}$  is a 2D line feature, which will be explained in Sec. III-C.

<sup>1</sup>Note that throughout the paper,  $(\bar{\cdot})$  denotes either a 2D vector or  $2 \times 2$  rotation matrix, and in its absence refers to a full 3D position or rotation.

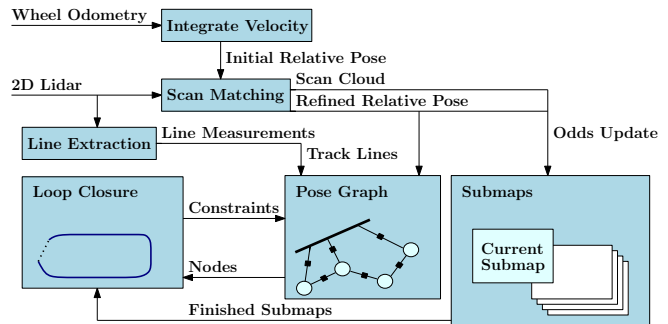


Fig. 1: We fuse odometry measurements with a new scan to obtain a single relative pose edge for the pose graph and insert the scan into the current submap. Extracted line features from the current scan are tracked to lines in the state vector and added to the global pose graph. Background threads detect loop closures between current scans and finished submaps using the correlative scan matcher, and merge lines after loop closure.

### A. 2D Odometry Measurements

The 2D wheel odometry measurement provides local yaw angular velocity  ${}^{O_\tau}\omega$  and  $x$ -direction linear velocity  ${}^{O_\tau}v$ . The readings at timestep  $\tau$  are given by:

$${}^{O_\tau}\omega_m = {}^{O_\tau}\omega + n_{w\tau}, \quad {}^{O_\tau}v_m = {}^{O_\tau}v + n_{v\tau} \quad (2)$$

where  $n_{w\tau} \sim \mathcal{N}(0, \sigma_w^2)$ ,  $n_{v\tau} \sim \mathcal{N}(0, \sigma_v^2)$ . Hence, the relative pose measurement  $\bar{\mathbf{z}}_{k-1, \tau+1}$  (with corresponding covariance  $\bar{\mathbf{Q}}_{k-1, \tau+1}$ ) from odometry between  $t_{k-1}$  and  $t_{\tau+1}$  (with  $t_{k-1} \leq t_\tau \leq t_{\tau+1} \leq t_k$ ) can be integrated as:

$$\bar{\mathbf{z}}_{k-1, \tau+1} = \begin{bmatrix} {}^{O_{k-1}}\theta_{O_\tau} + {}^{O_\tau}\omega \delta t_\tau \\ {}^{O_{k-1}}\bar{\mathbf{p}}_{O_\tau} + \begin{bmatrix} \cos({}^{O_{k-1}}\theta_{O_\tau}) \\ \sin({}^{O_{k-1}}\theta_{O_\tau}) \end{bmatrix} {}^{O_\tau}v \delta t_\tau \end{bmatrix} \quad (3)$$

$$\bar{\mathbf{Q}}_{k-1, \tau+1} = \mathbf{H}_\tau \bar{\mathbf{Q}}_{k-1, \tau} \mathbf{H}_\tau^\top + \mathbf{G}_\tau \bar{\mathbf{Q}}_o \mathbf{G}_\tau^\top \quad (4)$$

where  $\bar{\mathbf{Q}}_o = \text{diag}\{\sigma_w^2, \sigma_v^2\}$ . The pertinent Jacobians are omitted here for brevity. Iterating over Eq. (3) and (4) with all the odometry readings between  $t_{k-1}$  and  $t_k$ , we get the 2D relative pose measurements  $\bar{\mathbf{z}}_{k-1, k}$  with covariance  $\bar{\mathbf{Q}}_{k-1, k}$ .

### B. Occupancy Grid Map

We store occupancy grids in a local submap frame to allow for map corrections in the event of loop closure. Each cell in the occupancy grid represents an  $r \times r$  square of the world, where  $r$  is the chosen grid resolution. The submap occupancy grid stores the probability that there is an object in it and is initialized to a probability of 0.5. A probability in the occupancy grid  $M$  of submap  $S$  at location  ${}^S\bar{\mathbf{p}}$  is denoted as  $M({}^S\bar{\mathbf{p}})$ , which involves simply rounding to the nearest cell location. To update the map with a LiDAR scan, we use the registered pose of the LiDAR  $\{{}_{L_i}^S\bar{\mathbf{R}}, {}^S\bar{\mathbf{p}}_{L_i}\}$  and trace along the ray between the current LiDAR position and the scan point  ${}^S\bar{\mathbf{p}}_j$ . For the end point  ${}^S\bar{\mathbf{p}}_j$ , we update the occupancy with a user-defined probability that a LiDAR range reading is a hit  $p_{hit}$ , and for all rasterized points along the ray we similarly update with a miss probability  $p_{miss}$ . For both hit and miss points, the probability update follows the form:

$$p_{new} = odds^{-1}(odds(p_{old})odds(p_{update})) \quad (5)$$

where  $odds(p) = p/(1-p)$  and  $p_{update}$  takes either the hit or miss probability depending on the case. We set a threshold on the maximum number of scan insertions to a submap in order to keep them small and be able to change the global map in a useful way.

1) *Scan Matching*: In order to accurately determine the relative pose between LiDAR scans, we register new scans to the current submap. Similarly to the Cartographer [3], we use a nonlinear optimization to perform scan registration; however, unlike the Cartographer system, we consider the uncertainty of the scan points, initial guess, and the sampled submap. We perform the registration in a relative frame in order to obtain relative pose edges while using the initial guess as a prior. The scan matching cost is given by:

$$c_{scan} = \left\| \begin{bmatrix} \text{Log}_{L_k}^{L_j} \bar{\mathbf{R}}^{\top L_j} \bar{\mathbf{R}}^{L_k(0)} \\ L_j \bar{\mathbf{p}}_{L_k} - L_j \bar{\mathbf{p}}_{L_k}^{(0)} \end{bmatrix} \right\|_{\Omega_{init}}^2 + \sum_{i=1}^n \frac{(1 - M_s(\bar{\mathbf{T}}^{L_k} \bar{\mathbf{p}}_i))^2}{\sigma_{si}^2} \quad (6)$$

where  $\bar{\mathbf{T}}^{L_k}$  is the combined transformation of the relative pose we are optimizing as well as the constant anchor pose from the last registered scan  $j$ :

$$\bar{\mathbf{T}}^{L_k} \bar{\mathbf{p}}_i = \bar{\mathbf{R}}_{L_k}^{L_j} \bar{\mathbf{R}}^{L_k} \bar{\mathbf{p}}_i + L_j \bar{\mathbf{p}}_{L_k} + S \bar{\mathbf{p}}_{L_j} \quad (7)$$

Similar to Cartographer, we use bicubic interpolation with Hermite splines to achieve a smooth version  $M_s$  of the indexing function  $M$ , and use a finite difference formula to estimate the necessary gradient  $\frac{\partial M_s}{\partial S \bar{\mathbf{p}}_i}$ .  $L_j \bar{\mathbf{p}}_{L_k}^{(0)}$  and  $L_j \bar{\mathbf{R}}^{(0)}$  denote the initial guess of the relative pose, which can either come from integrating odometry measurements, described in Sec. III-A, or by performing a correlative scan match with an exhaustive search [14] or depth-first search [3]. Besides odometry, the initial prior information,  $\Omega_{init}$ , is found as in [14] for both types of correlative scan matchers. The cost function  $c_{scan}$  penalizes the relative pose  $L_j \bar{\mathbf{x}}_{L_k}$  between frames  $\{L_j\}$  and  $\{L_k\}$ , which may not necessarily be consecutive (e.g., scan matching for loop closure).

To probabilistically weight the scan cost, we compute the uncertainty of each occupancy score residual  $z_i$ ,  $\sigma_{si}$ , by taking into account both the uncertainties of the scan and the submap:

$$z_i = 1 - M_s(\bar{\mathbf{T}}^{L_k} \bar{\mathbf{p}}_i - \mathbf{n}_i) - n_{map} \quad (8)$$

$$\Rightarrow \tilde{z}_i \simeq -\frac{\partial M_s}{\partial L_j \tilde{\mathbf{x}}_{L_k}} L_j \tilde{\mathbf{x}}_{L_k} - \frac{\partial M}{\partial \mathbf{n}_i} \mathbf{n}_i - n_{map} \quad (9)$$

where  $\tilde{\mathbf{x}}$  represents the error states of relative pose,  $\mathbf{n}_i \sim \mathcal{N}(0, \mathbf{Q}_i)$  represents the LiDAR point measurement noise, and  $n_{map}$  denotes the scalar occupancy noise. Note that the map uncertainty  $\sigma_{map}$  is computed by sampling the current submap occupancy grid across the  $4 \times 4$  grid used for the bicubic interpolation. Hence:

$$\sigma_{si}^2 = \frac{\partial M_s}{\partial \mathbf{n}_i} \mathbf{Q}_i \left( \frac{\partial M_s}{\partial \mathbf{n}_i} \right)^\top + \sigma_{map}^2 \quad (10)$$

Since we perform a weighted graph optimization to perform mapping, the uncertainty of the relative pose  $L_j \bar{\mathbf{x}}_{L_k}$  is needed. Its information matrix can be computed with the final Jacobian from scan registration as:

$$\Omega_{j,k} = \mathbf{J}_i^\top \mathbf{J}_i + \Omega_{init}, \quad \mathbf{J}_i = \frac{-1}{\sigma_{si}} \frac{\partial M_s}{\partial L_j \tilde{\mathbf{x}}_{L_k}} \quad (11)$$

### C. Line Map

In order to further exploit the geometrical constraints of structured indoor environments, line features can also be utilized to enhance the mapping. Leveraging our prior work [15], we propose to use 2D closest point (CP) to represent

the line features. If we use  $\mathbf{n}$  and  $d$  to denote the line normal direction and distance of line to origin, with the closest point representation, the transformation of a CP line from global to LiDAR frame can be written as:

$$\bar{\mathbf{x}}_f = d \cdot \bar{\mathbf{n}}, \quad \begin{bmatrix} L \bar{\mathbf{n}} \\ L d \end{bmatrix} = \begin{bmatrix} L \bar{\mathbf{R}}^\top & \mathbf{0}_{2 \times 1} \\ -G \bar{\mathbf{p}}_L^\top & 1 \end{bmatrix} \begin{bmatrix} G \bar{\mathbf{n}} \\ G d \end{bmatrix} \quad (12)$$

Given a scan at time  $t_k$ , we extract lines from the point cloud as in [16]. The CP line measurement  $\bar{\mathbf{z}}_{f,k}$  and its cost function is given by:

$$\bar{\mathbf{z}}_{f,k} = \mathbf{h}_f(\bar{\mathbf{x}}_k, \bar{\mathbf{x}}_f) + \bar{\mathbf{n}}_{f,k} \quad (13)$$

$$c_f = \|\bar{\mathbf{z}}_{f,k} - \mathbf{h}_f(\bar{\mathbf{x}}_k, \bar{\mathbf{x}}_f)\|_{\mathbf{Q}_{f,k}^{-1}}^2 \quad (14)$$

where  $\bar{\mathbf{n}}_{f,k} \sim \mathcal{N}(0, \bar{\mathbf{Q}}_{f,k})$  is white Gaussian noise.

As compared to using descriptor or  $\chi^2$ -based line matching, we opt for a simpler and more efficient method which relies on thresholding the distances of tracked lines to new lines projected into the global frame. We consider two lines being a match if: (1) the absolute difference of the line distances is below a threshold, (2) the dot product of the line normal vectors is above a threshold, and (3) if the minimum Euclidean distance between the lines' end points is below a threshold. Otherwise, a new line is added to the state vector. We have found via experiments that this method is suitable to track lines over long periods of time.

### D. Loop Closure

For an incoming scan, we first find the closest finished submap within a fixed radius (i.e., a submap that will receive no more scan insertions) that we wish to try and find constraints for. Then, based on a correlative scan matcher, we try to match the current scan to this map as follows: Using the marginal covariance for both states that are being considered for a loop closure,  $\bar{\mathbf{x}}_i$  and  $\bar{\mathbf{x}}_j$ , we calculate the search window for the correlative scan matcher as the  $3\sigma$  bound of the current estimated relative pose and its covariance:

$$i \bar{\mathbf{x}}_j = \begin{bmatrix} G \theta_j - G \theta_i \\ G \bar{\mathbf{R}}^\top (G \bar{\mathbf{p}}_j - G \bar{\mathbf{p}}_i) \end{bmatrix}, \quad \mathbf{P}_{ij} = \begin{bmatrix} \mathbf{P}_{ii} & \mathbf{P}_{ij} \\ \mathbf{P}_{ij}^\top & \mathbf{P}_{jj} \end{bmatrix} \begin{bmatrix} \mathbf{H}_i^\top \\ \mathbf{H}_j^\top \end{bmatrix}$$

$$\mathbf{H}_j = \begin{bmatrix} 1 & \mathbf{0}_{1 \times 2} \\ \mathbf{0}_2 & G \bar{\mathbf{R}}^\top \end{bmatrix}, \quad \mathbf{H}_i = \begin{bmatrix} -1 & \mathbf{0}_{1 \times 2} \\ \mathbf{J}_i^\top G \bar{\mathbf{R}}^\top (G \bar{\mathbf{p}}_j - G \bar{\mathbf{p}}_i) & -G \bar{\mathbf{R}}^\top \end{bmatrix}$$

where  $\mathbf{J} = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$ .  $\mathbf{P}_{ii}$ ,  $\mathbf{P}_{ij}$  and  $\mathbf{P}_{jj}$  are covariances and correlations of state  $\bar{\mathbf{x}}_i$  and  $\bar{\mathbf{x}}_j$ . By properly limiting the search window for scan matching, we are able to close more loops faster as compared to using a fixed search window and avoid invalid loop closures. When the search window is large, we use the depth-first search scan matcher [3], and when it is small we use an exhaustive search [14] – which will be faster for smaller bounds due to the overhead of pre-computing the upper bounds for the depth-first search. Note that for large loops, the public implementation of Cartographer will search the entire submap – the entire length and width of the submap and in every possible orientation. This can be quite slow, even with the efficient depth-first search, and can lead to incorrect loop constraints in symmetric areas which our proposed method typically avoids.

Once a scan-to-submap loop closure is detected with the above method, we exhaustively search all lines in the state vector to see if any should be merged into a single line. Based on the same criteria as line tracking, but with different

thresholds, if we find that two or more lines should be merged, we remove all but one from the state vector, and reroute the edges that were connected to the removed lines to single merged line. These lines provide robust and high quality loop closure constraints to the system. We found that even though this is an exhaustive search, our method to compare the lines is highly efficient, so this procedure typically runs in a few microseconds – even on large maps.

#### IV. ONLINE LOCALIZATION

In this section, we present our versatile EKF-based multi-sensor fusion for online localization, which can incorporate multi-modal information from a pre-built map, odometry, or LiDAR, if available, while automatically compensating for spatial/temporal calibration variations. In particular, the state vector of the proposed EKF consists of the current inertial navigation state, two historical LiDAR poses, and the set of extrinsic parameters.

$$\mathbf{x}_k = [\mathbf{x}_I \quad \mathbf{x}_L \quad \mathbf{x}_W \quad {}^L t_I \quad {}^L t_O] \quad (15)$$

$$\mathbf{x}_I = [{}^G \mathbf{R} \quad {}^G \mathbf{p}_{I_k} \quad {}^G \mathbf{v}_{I_k} \quad \mathbf{b}_{\omega_k} \quad \mathbf{b}_{a_k}] \quad (16)$$

$$\mathbf{x}_L = [{}^I_k \mathbf{R} \quad {}^G \mathbf{p}_{I_k} \quad {}^I_{k-1} \mathbf{R} \quad {}^G \mathbf{p}_{I_{k-1}}] \quad (17)$$

$$\mathbf{x}_W = [{}^L \mathbf{R} \quad {}^L \mathbf{p}_I \quad {}^O \mathbf{R} \quad {}^O \mathbf{p}_I] \quad (18)$$

where  $\mathbf{b}_{\omega_k}$  and  $\mathbf{b}_{a_k}$  are the gyroscope and accelerometer biases, and  ${}^G \mathbf{v}_{I_k}$  is the velocity in the global frame. We use the right orientation error state  $\mathbf{R} = \hat{\mathbf{R}} \text{Exp}(-\delta\theta)$ , where  $\text{Exp}(\cdot)$  is the  $SO(3)$  matrix exponential [17], while for all other vector quantities the error state is addition  $\mathbf{v} = \hat{\mathbf{v}} + \tilde{\mathbf{v}}$ .

We propagate the inertial state  $\mathbf{x}_I$  forward using incoming IMU measurements of linear accelerations  ${}^I \mathbf{a}_m$  and angular velocities  ${}^I \omega_m$  based on the following generic nonlinear IMU kinematics [18] propagating the state from timestep  $k-1$  to  $k$  and covariance  $\mathbf{P}_{k-1|k-1}$  forward in time:

$$\hat{\mathbf{x}}_{k|k-1} = f(\hat{\mathbf{x}}_{k-1|k-1}, {}^I \mathbf{a}_m, {}^I \omega_m, \mathbf{0}) \quad (19)$$

$$\mathbf{P}_{k|k-1} = \Phi_{k-1} \mathbf{P}_{k-1|k-1} \Phi_{k-1}^\top + \mathbf{Q}_{k-1} \quad (20)$$

where  $\hat{\cdot}$  denotes the estimated value and the subscript  $k|k-1$  denotes the predicted estimate at time  $t_k$  given the measurements up to time  $t_{k-1}$ .  $\Phi_{k-1}$  and  $\mathbf{Q}_{k-1}$  are the system Jacobian and discrete noise covariance matrices of the linearized system [19]. We purposely choose the IMU for propagation, as compared to using the wheel odometry, since the the IMU is likely to have less spurious readings, while wheel odometry can experience wheel slip could cause incorrect state propagation and inconsistent estimation.

A general non-linear measurement function relates to the states (e.g., relative and global pose measurements from ICP, odometry integration measurements, etc.) can be written as:

$$\mathbf{z}_{m,k} = \mathbf{h}(\mathbf{x}_k) + \mathbf{n}_{m,k} \quad (21)$$

where we the measurement noise  $\mathbf{n}_{m,k} \sim \mathcal{N}(\mathbf{0}, \mathbf{R}_{m,k})$ . We can linearize Eq. (21) and use it for standard EKF update. We note that before any update we check if the measurement passes a 95 percentile  $\chi^2$ -distribution gating test to prevent bad measurements from corrupting our state estimates. In what follows, we explain the pertinent measurements used in our EKF update.

#### A. Pointcloud Projection

One of the challenges of using a 2D LiDAR sensor in a 3D world is that the alignment of 2D clouds are only valid if they are measured in the same plane. If a 2D LiDAR records a scan of a room, and then pitches upwards by 45 degrees, the alignment result will be non-trivial even though the robot has not moved. For ground vehicles this can be the case when we go up and down a slope or hit a bump on the ground. Thus, in order to properly find the alignment between two scans, both need to be in the same 3D plane. Thus we project all points from the current LiDAR frame into the global  $x$ - $y$  plane assuming the walls are aligned with gravity as follows:

$${}^{L'_k} \bar{\mathbf{p}}_f = {}^L \bar{\mathbf{R}}_G^{I_k} \bar{\mathbf{R}} \Lambda \left( {}^I_k \mathbf{R}^\top {}^L \mathbf{R}^\top {}^{L_k} \mathbf{p}_f \right) \quad (22)$$

where  $\Lambda = [\mathbf{e}_1^\top \quad \mathbf{e}_2^\top]^\top$ ,  $\mathbf{e}_i$  is the  $i$ -th standard basis, and  ${}^{L'_k} \bar{\mathbf{p}}_f$  is the 2D position of the point in the  $x$ - $y$  plane, as seen from yaw only local frame  $\{L'_k\}$ .

#### B. Relative LiDAR ICP

We leverage the point-to-plane variant of iterative closest point (ICP) [20] with covariance estimation of the resulting transformation following [21], [22]. We use the *libpointmatcher* library point-to-plane minimizer [23] and the MatLab derivation code of [22] to implement the ICP algorithm. We use a max of four neighbor points to compute the pointcloud normal vectors and force the minimization to only optimize a 2D transformation.

Projecting the last  $\{L_{k-1}\}$  and current  $\{L_k\}$  scans into the global  $x$ - $y$  plane, denote as  ${}^{L'_{k-1}} \mathcal{P}$  and  ${}^{L'_k} \mathcal{P}$  respectively, the ICP algorithm provides:

$$\left[ {}^{L'_{k-1}} \bar{\mathbf{R}}_m, {}^{L'_{k-1}} \bar{\mathbf{p}}_{L'_k, m}, \mathbf{Q}_{icp} \right] = icp \left( {}^{L'_{k-1}} \mathcal{P}, {}^{L'_k} \mathcal{P} \right) \quad (23)$$

where  $\mathbf{Q}_{icp}$  is the calculated measurement covariance which is based on the uncertainty of the points in each pointcloud. As noted in [24], this covariance result can be extremely overconfident and if directly incorporated would cause inconsistencies. Thus we manually inflate this covariance by a fixed amount over all datasets to ensure that we properly capturing the noise of ICP alignment.

We now define the following measurement function:

$${}^{L'_{k-1}} \bar{\mathbf{R}} = {}^L \bar{\mathbf{R}}_G^{I_{k-1}} \bar{\mathbf{R}}_G^{I_k} \bar{\mathbf{R}}^\top {}^L \bar{\mathbf{R}}^\top \quad (24)$$

$${}^{L'_{k-1}} \bar{\mathbf{p}}_{L'_k} = {}^L \bar{\mathbf{R}}_G^{I_{k-1}} \bar{\mathbf{R}} \Lambda ({}^G \mathbf{p}_{L_k} - {}^G \mathbf{p}_{L_{k-1}}) \quad (25)$$

where  ${}^G \mathbf{p}_{L_i} = {}^G \mathbf{p}_{I_i} - {}^I_i \mathbf{R}^\top {}^L \mathbf{R}^\top {}^L \mathbf{p}_I$ . We can now linearize the above measurement functions:

$${}^{L'_{k-1}} \delta\theta_z = \mathbf{H}_{\theta L} \tilde{\mathbf{x}}_L + \mathbf{H}_{\theta W} \tilde{\mathbf{x}}_W + n_\theta \quad (26)$$

$${}^{L'_{k-1}} \tilde{\mathbf{p}}_{L'_k} = \mathbf{H}_{pL} \tilde{\mathbf{x}}_L + \mathbf{H}_{pW} \tilde{\mathbf{x}}_W + \mathbf{n}_p \quad (27)$$

where  $[n_\theta \quad \mathbf{n}_p^\top]^\top \sim \mathcal{N}(\mathbf{0}, \mathbf{Q}_{icp})$ . We have the following Jacobians in respect to our state:

$$\mathbf{H}_{\theta L} = \begin{bmatrix} -\mathbf{e}_3^\top {}^{L'_k} \hat{\mathbf{R}} & \mathbf{0}_{1 \times 3} & \mathbf{e}_3^\top {}^{L'_k} \hat{\mathbf{R}} & \mathbf{0}_{1 \times 3} \end{bmatrix}$$

$$\mathbf{H}_{\theta W} = \begin{bmatrix} \mathbf{e}_3^\top ({}^{L'_k} \hat{\mathbf{R}}_I^L \hat{\mathbf{R}} - {}^L \hat{\mathbf{R}}) & \mathbf{0}_{1 \times 9} \end{bmatrix}$$

$$\mathbf{H}_{pL} = [\mathbf{H}_1 \quad \mathbf{H}_2 \quad \mathbf{H}_3 \quad \mathbf{H}_4], \quad \mathbf{H}_{pW} = [\mathbf{H}_5 \quad \mathbf{H}_6 \quad \mathbf{0}_{2 \times 6}]$$

$$\mathbf{H}_1 = \Lambda \frac{{}^{L'_{k-1}} \hat{\mathbf{R}}}{G} [{}^G \hat{\mathbf{p}}_{L_k} - {}^G \hat{\mathbf{p}}_{L_{k-1}} + \frac{{}^{L'_{k-1}} \hat{\mathbf{R}}^\top {}^L \hat{\mathbf{p}}_I}{G}]$$

$$\mathbf{H}_2 = -\Lambda \frac{{}^{L'_{k-1}} \hat{\mathbf{R}}}{G}, \quad \mathbf{H}_3 = \Lambda \frac{{}^{L'_{k-1}} \hat{\mathbf{R}}}{G} [{}^{L'_k} \hat{\mathbf{R}}^\top {}^L \hat{\mathbf{p}}_I]$$

$$\begin{aligned}\mathbf{H}_4 &= \Lambda \begin{matrix} L'_{k-1} \\ G \end{matrix} \hat{\mathbf{R}}, & \mathbf{H}_6 &= \Lambda \left( \mathbf{I} - \begin{matrix} L_{k-1} \\ G \end{matrix} \hat{\mathbf{R}}_G^{L_{k-1}} \hat{\mathbf{R}} \hat{\mathbf{R}}^\top \right) \\ \mathbf{H}_5 &= \Lambda \left( \begin{matrix} L \\ I \end{matrix} \hat{\mathbf{R}} \begin{matrix} I_{k-1} \\ G \end{matrix} \hat{\mathbf{R}} \begin{matrix} G \\ \hat{\mathbf{p}}_{L_k} - G \hat{\mathbf{p}}_{L_{k-1}} \end{matrix} \right) \\ &+ \begin{matrix} L_{k-1} \\ G \end{matrix} \hat{\mathbf{R}}_G^{L_{k-1}} \hat{\mathbf{R}}^\top \begin{matrix} L \\ I \end{matrix} \hat{\mathbf{R}}^\top \begin{matrix} L \\ \hat{\mathbf{p}}_I \end{matrix} - \begin{matrix} L_{k-1} \\ G \end{matrix} \hat{\mathbf{R}}_G^{L_{k-1}} \hat{\mathbf{R}}^\top \begin{matrix} L \\ I \end{matrix} \hat{\mathbf{R}}^\top \begin{matrix} L \\ \hat{\mathbf{p}}_I \end{matrix} \end{aligned}$$

Using these linearized measurement residual and Jacobians we can perform our EKF update.

### C. Global Prior Map LiDAR ICP

We first project the current  $\{L_k\}$  scans into the global xy plane, getting the pointcloud  $L'_k \mathcal{P}$ . This cloud is then aligned with the prior map pointcloud  $G \mathcal{M}$  generated from the mapping system. Directly doing the ICP in the global frame of reference is very unstable and produces a covariance unsuitable for estimation. Thus, we transform prior map points in a local radius near to the current position into the current frame of reference  $L'_k \mathcal{M}$  and perform ICP as follows:

$$\left[ \begin{matrix} L'_k \bar{\mathbf{R}}_m, L'_k \bar{\mathbf{p}}_{L'_k, m}, \mathbf{Q}_{icp} \end{matrix} \right] = icp \left( L'_k \mathcal{P}, L'_k \mathcal{M} \right) \quad (28)$$

where the frame  $\{L'_k\}$  is the corrected  $\{L_k\}$  currently estimated pose. Directly compounding this result gets the ICP measurement in the global frame:

$$\begin{matrix} G \\ L'_k \end{matrix} \bar{\mathbf{R}}_m = \begin{matrix} L'_k \\ G \end{matrix} \bar{\mathbf{R}}^\top \begin{matrix} L'_k \\ L'_k \end{matrix} \bar{\mathbf{R}}_m, \quad \begin{matrix} G \\ L'_k \end{matrix} \bar{\mathbf{p}}_{L'_k, m} = \Lambda^G \mathbf{p}_{L_k} + \begin{matrix} L'_k \\ G \end{matrix} \bar{\mathbf{R}}^\top \begin{matrix} L'_k \\ L'_k \end{matrix} \bar{\mathbf{p}}_{L'_k, m}$$

Written as a function of the state we have:

$$\begin{matrix} G \\ L'_k \end{matrix} \bar{\mathbf{R}} = \begin{matrix} I_k \\ G \end{matrix} \bar{\mathbf{R}}^\top \begin{matrix} L \\ I \end{matrix} \bar{\mathbf{R}}^\top, \quad \begin{matrix} G \\ L'_k \end{matrix} \bar{\mathbf{p}}_{L'_k} = \Lambda \left( \begin{matrix} G \\ L'_k \end{matrix} \mathbf{p}_{L_k} - \begin{matrix} I_k \\ G \end{matrix} \bar{\mathbf{R}}^\top \begin{matrix} L \\ I \end{matrix} \bar{\mathbf{R}}^\top \begin{matrix} L \\ \mathbf{p}_I \end{matrix} \right) \quad (29)$$

which can then be linearized to get the following measurement error state and Jacobians:

$$\begin{matrix} G \\ L'_k \end{matrix} \delta \theta_z = \mathbf{H}_{\theta L} \tilde{\mathbf{x}}_L + \mathbf{H}_{\theta W} \tilde{\mathbf{x}}_W + \mathbf{n}_{\theta} \quad (30)$$

$$\begin{matrix} G \\ L'_k \end{matrix} \tilde{\mathbf{p}}_{L'_k} = \mathbf{H}_{pL} \tilde{\mathbf{x}}_L + \mathbf{H}_{pW} \tilde{\mathbf{x}}_W + \mathbf{n}_p \quad (31)$$

$$\mathbf{H}_{\theta L} = \left[ -\mathbf{e}_3^\top \begin{matrix} L'_k \\ G \end{matrix} \hat{\mathbf{R}} \quad \mathbf{0}_{1 \times 9} \right], \quad \mathbf{H}_{\theta W} = \left[ -\mathbf{e}_3^\top \begin{matrix} L \\ I \end{matrix} \hat{\mathbf{R}} \quad \mathbf{0}_{1 \times 9} \right] \quad (32)$$

$$\mathbf{H}_{pL} = \left[ \Lambda \begin{matrix} L'_k \\ G \end{matrix} \hat{\mathbf{R}}^\top \begin{matrix} L \\ \hat{\mathbf{p}}_I \end{matrix} \quad \Lambda \mathbf{I}_{3 \times 3} \quad \mathbf{0}_{2 \times 6} \right] \quad (33)$$

$$\mathbf{H}_{pW} = \left[ \Lambda \begin{matrix} I'_k \\ G \end{matrix} \hat{\mathbf{R}}^\top \begin{matrix} L \\ I \end{matrix} \hat{\mathbf{R}}^\top \begin{matrix} L \\ \hat{\mathbf{p}}_I \end{matrix} \quad -\Lambda \begin{matrix} L'_k \\ G \end{matrix} \hat{\mathbf{R}}^\top \quad \mathbf{0}_{2 \times 6} \right] \quad (34)$$

### D. LiDAR Time Offset Estimation

Note that for both relative and global ICP, we do not have Jacobian in respect to time offset. We clone at the “true” time that the LiDAR scan was collected at from the current state pose from  $\mathbf{x}_I$  into  $\mathbf{x}_L$ . Following [25], we say that the true will be near the current propagated estimate  $\{\begin{matrix} I_k \\ G \end{matrix} \hat{\mathbf{R}}, \begin{matrix} G \\ \hat{\mathbf{p}}_{I_k} \end{matrix}\}$  plus a small error during cloning:

$$\begin{matrix} I_k \\ G \end{matrix} \mathbf{R} = \text{Exp}(-\omega^L \tilde{t}_I) \begin{matrix} I_k \\ G \end{matrix} \hat{\mathbf{R}}, \quad \begin{matrix} G \\ \mathbf{p}_{I_k} \end{matrix} = \begin{matrix} G \\ \hat{\mathbf{p}}_{I_k} \end{matrix} + \begin{matrix} G \\ \hat{\mathbf{v}}_{I_k} \end{matrix} \begin{matrix} L \\ \tilde{t}_I \end{matrix} \quad (35)$$

### E. Odometry Measurements

As compared to the mapping system we formulate a full 3D odometry measurement. In this case we create “psuedo” measurements where the angular rate about the roll and pitch and the velocity along the y and z-axes should be zero and assign measurement uncertainties to these directions based on the environment ground conditions. In the case that we go up a hill and this “psuedo” measurement no longer holds, the  $\chi^2$  gating test will reject this invalid measurement. The general 3D odometry readings at time  $\tau$  are [see (2)]:

$$\begin{matrix} O_\tau \\ \omega_m \end{matrix} = \begin{matrix} O_\tau \\ \omega \end{matrix} \mathbf{e}_3 + \mathbf{n}_{w\tau}, \quad \begin{matrix} O_\tau \\ \mathbf{v}_m \end{matrix} = \begin{matrix} O_\tau \\ v \end{matrix} \mathbf{e}_1 + \mathbf{n}_{v\tau} \quad (36)$$

TABLE I: Key simulation / estimator parameters for each sensor.

Parameter	Value	Parameter	Value
IMU Freq. (hz)	200	Wheel Freq. (hz)	100
LiDAR Freq. (hz)	10	LiDAR Clones	2
Gyro. White Noise	1.6968e-04	Gyro. Rand. Walk	1.9393e-05
Accel. White Noise	2.0000e-03	Accel. Rand. Walk	3.0000e-03
Odom. Ang. Noise (rad/s)	8.0000e-03	Odom. Vel. Noise (m/s)	2.0000e-02
LiDAR Ray Noise (m)	3.0000e-02	LiDAR FOV (deg)	270
LiDAR Ang. Resolution (deg)	0.5	Prior Map Cell Size (m)	0.05

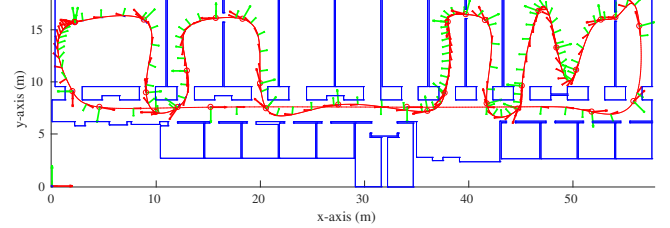


Fig. 2: Trajectory plot of the simulated structured environment containing 236 planes, 348 meter in length trajectory, and average speed of 1.8 m/s.

where  $\mathbf{n}_v$  and  $\mathbf{n}_w$  denotes white Gaussian noises. The integrated relative pose and measurement covariance between  $t_{k-1}$  and  $t_{\tau+1}$  is as follows:

$$\begin{matrix} O_{k-1} \\ O_{\tau+1} \end{matrix} \mathbf{R} = \begin{matrix} O_{k-1} \\ O_{\tau} \end{matrix} \mathbf{R} \text{Exp} \left( \left( \begin{matrix} O_\tau \\ \omega_m \end{matrix} - \begin{matrix} O_\tau \\ \mathbf{n}_{w\tau} \end{matrix} \right) \delta t_\tau \right) \quad (37)$$

$$\begin{matrix} O_{k-1} \\ \mathbf{p}_{O_{\tau+1}} \end{matrix} = \begin{matrix} O_{k-1} \\ \mathbf{p}_{O_\tau} \end{matrix} + \begin{matrix} O_{k-1} \\ O_\tau \end{matrix} \mathbf{R} \left( \begin{matrix} O_\tau \\ \mathbf{v}_m - \mathbf{n}_{v\tau} \end{matrix} \right) \delta t_\tau \quad (38)$$

$$\begin{matrix} \mathbf{Q}_{k-1, \tau+1} \end{matrix} = \begin{matrix} \mathbf{H}_\tau \\ \mathbf{G}_\tau \end{matrix} \begin{matrix} \mathbf{Q}_{k-1, \tau} \end{matrix} \begin{matrix} \mathbf{H}_\tau^\top \\ \mathbf{G}_\tau^\top \end{matrix} + \begin{matrix} \mathbf{G}_\tau \\ \mathbf{Q}_{m\tau} \end{matrix} \begin{matrix} \mathbf{G}_\tau^\top \end{matrix} \quad (39)$$

where  $[\mathbf{n}_{w\tau} \ \mathbf{n}_{v\tau}]^\top \sim \mathcal{N}(\mathbf{0}, \mathbf{Q}_{m\tau})$  is the noise covariance matrix of a single odometry reading. Integrating Eq. (37)-(39) over all odometry readings between  $t_{k-1}$  and  $t_k$ , we get a 3D relative pose measurement  $\mathbf{z}_{k-1, k}$ , covariance  $\mathbf{Q}_{k-1, k}$ , and the measurement function:

$$\mathbf{z}_{k-1, k} = \begin{bmatrix} \text{Log} \left( \begin{matrix} O \\ I \end{matrix} \mathbf{R}_G^{I_{k-1}} \begin{matrix} I_k \\ G \end{matrix} \mathbf{R}^\top \begin{matrix} O \\ I \end{matrix} \mathbf{R}^\top \right) \\ \begin{matrix} O \\ \mathbf{p}_{O_i} \end{matrix} - \begin{matrix} I_k \\ G \end{matrix} \mathbf{R}^\top \begin{matrix} O \\ \mathbf{p}_I \end{matrix} \end{bmatrix} + \mathbf{n}_o \quad (40)$$

where  $\mathbf{n}_o \sim \mathcal{N}(\mathbf{0}, \mathbf{Q}_{k-1, k})$  and the positions are  $\begin{matrix} G \\ \mathbf{p}_{O_i} \end{matrix} = \begin{matrix} G \\ \mathbf{p}_{I_i} \end{matrix} - \begin{matrix} I_k \\ G \end{matrix} \mathbf{R}^\top \begin{matrix} O \\ \mathbf{p}_I \end{matrix}$ . We omit the Jacobians in respect to the state and calibration here for brevity. They are in the same form as the 2D LiDAR relative, Sec. IV-B, but without the projection matrices.

To handle calibration of the time offset we follow a logic close to that introduced in Sec. IV-D. In this case, the poses in our state are cloned at the “true” LiDAR clock time. Thus when we calculate our preintegrated odometry measurement, we propagate to the current estimate of the true LiDAR time. Using the expansions in Eq. (35) we can find the derivative of the propagated states in respect to the true LiDAR clones that are in our state (see [26] for a detailed discussion).

## V. SIMULATION RESULTS

Building upon our prior LIPS [27] and OpenVINS [28] simulators we simulate a sensor suite moving through an indoor environment defined by a 2D floorplan and trajectory, see Fig. 2. We enforced that the orientation of the system is

TABLE II: System evaluation with different configurations (15 runs).

Configuration	RMSE Ori. (deg)	RMSE Pos. (m)	NEES Ori.	NEES Pos.
IMU + REL	14.034	4.344	4.122	4.845
IMU + REL + ODOM	3.714	1.221	2.574	1.693
IMU + PRIOR	0.201	0.047	1.979	0.595
IMU + PRIOR + ODOM	0.191	0.043	2.406	1.564
IMU + PRIOR + ODOM + REL	0.182	0.040	2.344	1.464

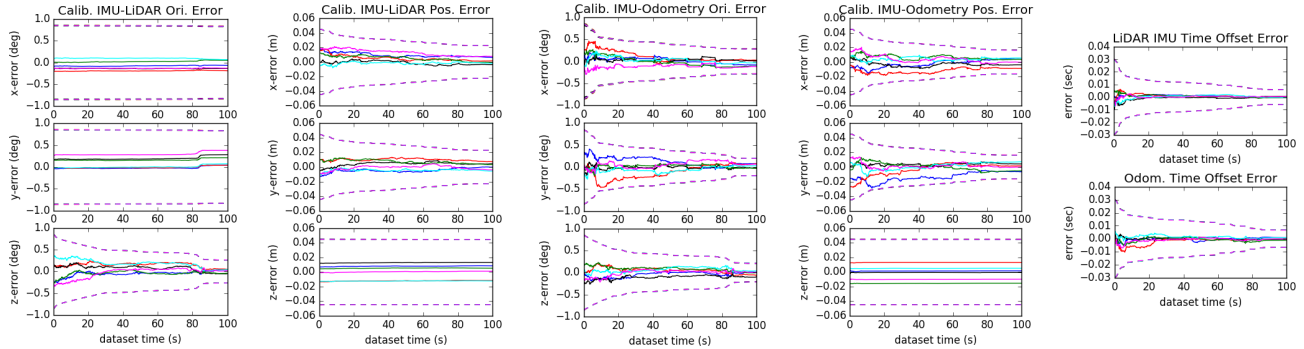


Fig. 3: Calibration errors of each parameter (solid) and  $3\sigma$  bound (dotted) for six different runs under planar motion. Each colors denote runs with different realization of the measurement noise and the initial values.

TABLE III: RMSE for different map update rates averaged over 15 runs.

Prior Update Freq. (Hz)	RMSE Ori. (deg)	RMSE Pos. (m)
10	0.182	0.040
1	0.303	0.063
0.20	0.454	0.100
0.10	0.569	0.153

always along the velocity direction and is a pure 2D trajectory by setting the  $z$ -axis values to be zero, thus modeling our ground robot’s nonholonomic motion constraint. LiDAR range measurements are generated by intersecting rays from the true LiDAR pose with the 3D planes which have been extruded vertically from the 2D floorplan. We select the closest intersection as the LiDAR range measurement and reject any that are further than the max range of the sensor. The prior map is generated using the 2D floorplan whose lines are sampled at the desired prior map cell size (i.e., along a line that defines a wall, we create a prior map points at fixed intervals along the line). All measurements are corrupted by some white Gaussian noise, while the IMU has additional time-varying bias term added. Table I, has the key sensor frequencies, sensor properties, and noise parameters used during simulation. For all experiments we report the error in the 2D plane while consistency metrics are for the full 3D estimated states.

#### A. Sensor Impact Comparison

Table II, shows the average root mean squared error (RMSE) and normalized estimator error squared (NEES) of 15 simulated Monte-Carlo runs with all online calibration enabled and for a 2D trajectory through the simulated environment with different sensor configurations. As noted in Sec. IV-B, we inflated the covariance of the ICP algorithm by a fixed amount over all datasets and experiments. Even so, in the IMU + relative LiDAR we have slightly inconsistent estimation due to this inflation, while when additional sensors are used the filter becomes slightly under-confident in its estimates. We found that being under-confident provided far superior estimation accuracy as compared to having a over-confident filter. The prior map greatly reduces the estimation error and using all measurements provides the best accuracy.

#### B. Frequency of Prior Map Updates

Another key question is how often do we need to get prior map updates to obtain the impressive accuracy provided by the prior map? Table III shows the estimation error of

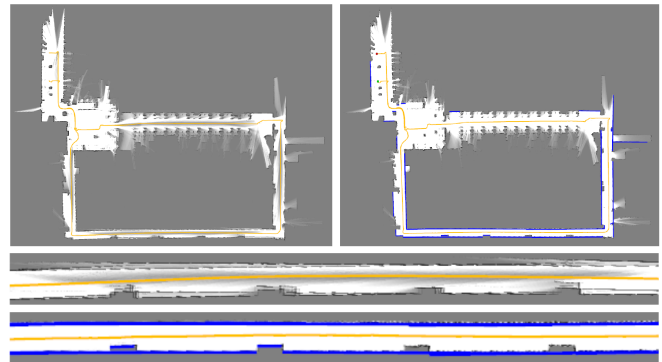


Fig. 4: A map generated by Google Cartographer (top left) and our mapping system (top right) in a warehouse environment. The LiDAR frame trajectory is shown in yellow with the start and end points in green and red, respectively. Line features are overlaid in blue for our map. Cartographer was forced to sacrifice the map quality along the hallway (middle) in order to close the loop, while our system successfully closed the loop and kept the hallway in-tact (bottom).

the proposed system with inertial, odometry, relative LiDAR updates at 10 Hz and prior map updates at a specified fixed frequency. It can be seen that even when there are 10 seconds between consecutive prior map updates the estimate is still able to retain high accuracy as compared to not including the prior map constraint.

#### C. Inspection of Online Calibration

We next looked to verify the ability of the proposed system to perform online calibration. As shown in Fig. 3, it is interesting that not all calibration parameters are able to calibrate. Specifically the LiDAR-IMU roll and pitch are unable to quickly converge due to the 2D LiDAR measurements only providing 2D constraints in the global  $x$ - $y$  plane, and indicates that robust online calibration of these parameters is not guaranteed. Both LiDAR-IMU and odometry calibration is unable to calibrate along the vertical direction which is the normal direction of the  $x$ - $y$  plane and is similar to what is seen for IMU-camera [29] and odometry-IMU [26] degenerate analysis.

## VI. REAL-WORLD EXPERIMENTAL RESULTS

### A. Mapping

We first compare the proposed mapping system against Cartographer [3] and Graph Mapping [30], on the RADISH datasets [31]. These absolute trajectory results are reported

TABLE IV: The benchmark of our mapping method on the RADISH dataset. The absolute errors of 2D poses are reported here. Note that the results of Cartographer (Cart.) and Graph Mapping (GM) are quoted from [3].

Dataset	Units	Proposed	Cart.	GM
Aces	m	<b>0.013 ± 0.063</b>	0.038 ± 0.043	0.044 ± 0.044
	deg	<b>0.081 ± 0.273</b>	0.373 ± 0.469	0.400 ± 0.400
Intel	m	0.046 ± 0.063	<b>0.023 ± 0.024</b>	0.031 ± 0.026
	deg	<b>0.274 ± 1.57</b>	0.453 ± 1.34	1.30 ± 4.70
MIT Killian Court	m	0.174 ± 0.824	<b>0.040 ± 0.049</b>	0.050 ± 0.056
	deg	<b>0.069 ± 0.339</b>	0.352 ± 0.353	0.500 ± 0.500
MIT CSAIL	m	0.009 ± 0.034	0.032 ± 0.036	<b>0.004 ± 0.009</b>
	deg	0.571 ± 4.28	0.369 ± 0.365	<b>0.050 ± 0.080</b>
Freiburg building 79	m	<b>0.012 ± 0.033</b>	0.045 ± 0.035	0.056 ± 0.042
	deg	<b>0.153 ± 1.01</b>	0.538 ± 0.718	0.600 ± 0.600
Freiburg hospital (local)	m	0.379 ± 1.94	<b>0.108 ± 0.194</b>	0.143 ± 0.180
	deg	<b>0.649 ± 3.64</b>	0.747 ± 2.05	0.900 ± 2.20
Freiburg hospital (global)	m	175 ± 420	<b>5.22 ± 6.62</b>	11.6 ± 11.9
	deg	<b>1.48 ± 5.03</b>	3.34 ± 4.80	6.30 ± 6.20



Fig. 5: A two room (top) and building floor (bottom) datasets collected with a Turtlebot3. Each map and the mapping trajectory (red) was used by the localization system (blue) on a second dataset through the environment. The batch optimized trajectory (orange) of the second dataset can also be seen.

in Table IV, where the results of the two methods are reported from [3]. The proposed method is able to achieve better accuracy on a large number of the datasets, thus confirming that the inclusion of measurement uncertainty and line segments has significant impact on accuracy.

For further validation, we compare our system to Google Cartographer [3] in a warehouse scenario. The result of this experiment can be observed in Fig. 4. Due to using line features and covariance weighting, we are able to achieve a higher map quality in this case. Cartographer’s map of the lower hallway becomes corrupted after the loop closure, while our system correctly keeps the walls together. By estimating the uncertainty of the scan measurements and also

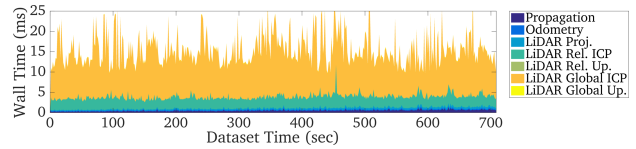


Fig. 6: Timing of different system components reported in milliseconds for the building floor dataset. Recorded on an Intel(R) Xeon(R) CPU E3-1505M v6 @ 3.00GHz processor in single threaded execution.

including the line features, the nodes in the lower hallway are very well constrained in the vertical direction, and will tend to only move in the direction parallel to the walls during optimization, while Cartographer’s equal weighting system causes the hallway to fall apart. Note that we used the values from Cartographer’s PR2 demonstration, with the addition of wheel odometry.

### B. Online Localization on Turtlebot3

To validate the system as a whole, we demonstrate its ability to easily run on a commercially available Turtlebot3<sup>2</sup> system equipped with its integrate wheel encoders, inertial measurement unit, and an inexpensive RPLIDAR-A1<sup>3</sup>. The IMU runs at 118 Hz, odometry at 25 Hz, and LiDAR at 7 Hz, and all calibration initial values are hand measured. These parts cost no more than \$550 USD online, with the LiDAR making up only \$100 USD of the total. The Turtlebot3 is an excellent platform for robotic education, research, hobby, and product prototyping.

To overcome the “kidnapped robot” problem on startup, we leverage a depth-first search on the loaded map occupancy grid with hand-picked bounds. The prior map was generated based on a different collected dataset than the localization test dataset. After finding the initial location in the prior map, we transform the yaw and xy location from the LiDAR to IMU sensor frame. The roll and pitch directions of the orientation, which are observable given the IMU sensor, are calculated using standard inertial frame initialization [28]. The orientation is the compound of the roll and pitch from this procedure and the yaw of the IMU in the prior map frame and the global xy of the IMU the position returned by the depth-first search.

To perform ICP on the prior map, the map occupancy grid from the mapping session is loaded from disk and converted into a pointcloud through thresholding the occupancy grid. It is important to note that we only perform global ICP with points locally, as mentioned in Sec. IV-C, randomly sample to a smaller 1.5k subset, try to match to the prior for every incoming LiDAR scan, and generated the prior map on a separate dataset through the environment.

In Fig. 5, the EKF localization trajectory can be seen overlaid along with the batch-optimized mapping trajectory used to generate the prior map.<sup>4</sup> While there is no groundtruth, a visual inspection of the trajectory compared to the mapping system’s output on the same localization dataset shows good estimation and constraint within the prior map. In general the localization trajectory closely follows that of the optimized trajectory. Shown in Fig. 6, it is clear that the system is able

<sup>2</sup><https://www.turtlebot.com/>

<sup>3</sup><http://www.slamtec.com/en/lidar/a1>

<sup>4</sup><https://youtu.be/sxq75Cgeb48>

to incorporate all three measurement sources at very high speed. For the two room dataset it took 12.3 ms to update on average and for the building floor dataset it took 15.4 ms. The ICP with the global map takes the most time being an average of 9.2 and 11.3 ms, respectively. It should also be noted that one can reduce the computation by only trying to get a prior map constraint at a lower frequency. We found that on the building floor dataset we could do prior map updates at 1 Hz and still get the same generated trajectory with an average update time of 4.2 ms.

## VII. CONCLUSIONS AND FUTURE WORK

In this paper, we have developed a 2-stage multi-sensor localization system, which incorporates both offline prior map construction and online multi-sensor map-based localization, for low-cost low-power autonomous ground robots. For the mapping functionality, we have introduced the accurate scan-to-submap covariance modeling, probabilistic odometry reading fusion, and accelerated loop-closure detection. Our mapping system is shown to have generally superior performance to Cartographer. A 2D line map is built along with the occupancy grid map to exploit the environmental structural constraints. During online localization, a versatile 3D EKF-based system, which leverages the pre-built occupancy grid map, optimally fuse inertial, odometry and 2D LiDAR measurements, if available. Spatial-temporal calibration between these sensors is estimated online to handle poor initial guess and “plug and play” applications. Extensive Monte-Carlo simulations verified both the accuracy, consistency, and robustness of proposed localization system, while the complete system was validated on a two room and building floor realworld datasets. In the future we will exploit inertial measurements in the mapping and incorporate visual sensors in both mapping and localization.

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